



registered mail

Lehman Brothers Holdings Claims Processing
c/o Epiq Bankruptcy Solutions, LLC
FDR Station, PO Box 5076
New York, NY 10150-5076
United States

Contact: Fabian Müller
T +41 31 950 73 12, F +41 31 950 76 51
fabian.mueller1@swisscom.com
Gümligen, June 18th, 2014

In re: Lehman Brothers Holdings Inc.

Dear Sir or Madam

Please find attached one notice of transfer of claims

ISIN CH0027120770 Transferee: Zuger Kantonalbank AG Transferor: UBS AG

If you have any questions, please do not hesitate to contact us. We thank you in advance for your cooperation.

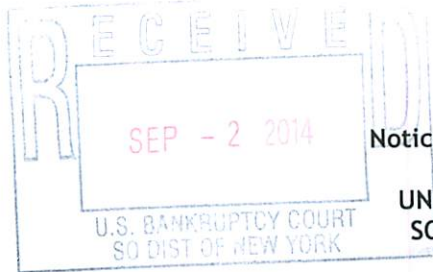
Yours faithfully
Swisscom IT Services, Banking Services

Fabian Müller
Head of Corporate Actions Gümligen

A blue ink signature of Fabian Müller, consisting of several loops and a long horizontal stroke.

Markus Widmer
BPO Operations Specialist

A blue ink signature of Markus Widmer, written in a cursive style.



Notice Pursuant to Bankruptcy Rule 3001

UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK



In re: Lehman Brothers Holdings Inc.

Case No.: 08-13555 (JMP)

Court ID (Court Use Only)

**NOTICE OF TRANSFER OF CLAIMS OTHER THAN FOR SECURITY
PURSUANT TO BANKRUPTCY RULE 3001(E)(2)**

CLAIMS HAVE BEEN FILED IN THIS CASE or deemed filed under 11 U.S.C. §1111(a). Pursuant to Bankruptcy Rule 3001(e)(2), Transferee hereby gives notice of the transfer, other than for security, of the claims referenced herein.

<u>Name of Transferee:</u> Zuger Kantonalbank AG	<u>Name of Transferor:</u> UBS AG
Notices to Transferee should be sent to: Zuger Kantonalbank AG Baarerstrasse 37 CH 6301 Zug PHONE ++41 41 709 14 76 Attn: Willy Müller EMAIL willy.mueller@zugerkb.ch	Court Record Address of the Transferor: (Court use only)
Name and Address where transferee payments should be sent (if different from above):	Name and Current Address of Transferor UBS AG Bahnhofstrasse 45 8001 Zürich, Switzerland Attn: Matthias Mohos +41 44 236 07 54 matthias.mohos@ubs.com
<u>Amount of Claim Being Transferred:</u> 750 Units	NOTE: THIS IS A PARTIAL TRANSFER OF CLAIM ONLY --- SEE ATTACHED EVIDENCE OF TRANSFER FOR DETAILS
<u>Court Claim No. (if known):</u> 59233	
<u>Date Claim Filed:</u> August 19 th , 2014	

I declare under penalty of perjury that the information provided in this notice is true and correct to the best of my knowledge and belief.

By: Willy Müller
Willy Müller, authorized representative

Date: 20.09.2014

Penalty for making a false statement: Fine of up to \$500,000 or imprisonment for up to 5 years or both. 18 U.S.C. §§ 152 & 3571. As set forth in the attached Evidence of Transfer of Claim, Transferor has waived to the fullest extent permitted by law any notice or right to receive notice of a hearing under Bankruptcy Rule 3001(e).

EVIDENCE OF PARTIAL TRANSFER OF CLAIM


TO: THE DEBTOR AND THE BANKRUPTCY COURT


For value received, the adequacy and sufficiency of which are hereby acknowledged, **UBS AG**, Bahnhofstrasse 45, CH-8001 Zurich ("Transferor") unconditionally and irrevocably transferred to **Zuger Kantonalbank** ("Transferee") all of its right, title, interest, claims and causes of action in and to or arising under or in connection with the portion of its claim (**Claim No. 59233**) in the nominal amounts specified in schedule 1 related to the securities with International Securities Identification Numbers listed in Schedule I hereto against Lehman Brothers Holdings, Inc. (the "Debtor"), Chapter 11 Case No.: 08-13555 (JMP) (Jointly Administered), United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court").

Transferor hereby waives any objection to the transfer of the claim to Transferee on the books and records of the Debtor and the Bankruptcy Court and hereby waives to the fullest extent permitted by law any notice or right to a hearing as may be imposed by Rule 3001 of the Federal Rules of Bankruptcy Procedure, the Bankruptcy Code, applicable local bankruptcy rules or applicable law. Transferor acknowledges and understands, and hereby stipulates, that an order of the Bankruptcy Court may be entered without further notice to Transferor regarding the transfer of the foregoing claim and recognizing the Transferee as the sole owner and holder of the claim. Transferor further directs the Debtor, the Bankruptcy Court and all other interested parties that all further notices relating to the claim, and all payments or distributions of money or property in respect of claim, shall be delivered or made to the Transferee.

IN WITNESS WHEREOF, this EVIDENCE OF TRANSFER OF CLAIM IS
EXECUTED THIS 11 day of August 2014.

UBS AG

By: 
Name: Stephan Gfeller
Title: Associate Director

By: 
Name: Matthias Mohos
Title: Associate Director

ACKNOWLEDGED BY:
Zuger Kantonalbank AG
Transferee
By: 
Name: Willy Müller
Title: Authorized representative

SCHEDULE I

Lehman Programs Securities Related to Transferred Portion of Claim No. 59233:

ISIN	Court Claim #	Date Claim Filed	Issuer	Principal Amount/Nominal Amount/ Amount of Claim related to Security
CH0027120770	59233	October 30, 2009	Lehman Brothers Treasury BV	Units 750.00 out of Units 16'861.00



AUG 29 2014

FILED / RECEIVED

22-08-14

CH-3070

Frankieren Post

751401



009-80

PRIORITY
Stand 2

DIE POST

**Recommandé
étranger**

CH-3050 Bern



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